

**2016/2017 NCUA PROPOSED BUDGET**

Office	2015 Initial Budget	2016 Recommended Budget	2017 Recommended Budget	2016 Budget change from 2015 Budget		2017 Budget change from 2016 Budget		Full-Time Equivalents		
								2015	2016/17	Change
Office of the Board	2,850,042	2,935,680	3,061,912	85,638	3.0%	126,232	4.3%	12.00	12.00	-
Office of the Executive Director	1,793,729	1,810,929	1,895,430	17,200	1.0%	84,501	4.7%	6.00	6.00	-
Office of Continuity and Security Management	2,750,006	3,729,940	3,943,218	979,935	35.6%	213,278	5.7%	9.00	10.00	1.00
Office of Minority and Women Inclusion	2,904,336	2,935,637	3,079,363	31,301	1.1%	143,726	4.9%	11.00	11.00	-
Office of the Chief Economist	1,608,104	1,785,741	1,855,359	177,637	11.0%	69,618	3.9%	7.00	7.00	-
Office of Consumer Protection	9,371,573	9,535,944	9,908,146	164,371	1.8%	372,202	3.9%	44.00	44.00	-
Office of the Chief Financial Officer	20,954,174	19,995,617	20,762,856	(958,557)	-4.6%	767,239	3.8%	39.00	39.00	-
Office of the Chief Information Officer	23,615,383	29,004,822	30,452,616	5,389,439	22.8%	1,447,794	5.0%	37.00	38.00	1.00
Office of National Examinations and Supervision	10,095,601	10,479,185	10,896,724	383,584	3.8%	417,539	4.0%	38.00	38.00	-
Office of Small Credit Union Initiatives	5,925,076	6,374,355	6,645,626	449,279	7.6%	271,270	4.3%	28.00	28.00	-
Office of Examination & Insurance	11,374,469	11,574,931	12,077,623	200,462	1.8%	502,692	4.3%	48.50 (1)	49.00	0.50
Office of General Counsel	6,731,200	7,145,754	7,463,329	414,554	6.2%	317,575	4.4%	28.60	29.80	1.20
Office of Inspector General	3,696,971	3,638,611	3,836,878	(58,360)	-1.6%	198,267	5.4%	10.00	10.00	-
Office of Human Resources	14,615,830	15,547,400	16,165,641	931,570	6.4%	618,241	4.0%	41.00	42.00	1.00
Office of Public and Congressional Affairs	1,823,235	2,007,270	2,110,237	184,035	10.1%	102,968	5.1%	7.00	7.00	-
Region I - Albany	29,018,875	29,490,209	30,660,102	471,334	1.6%	1,169,893	4.0%	171.00	166.00	(5.00)
Region II - Capital	30,438,021	30,778,661	32,018,276	340,640	1.1%	1,239,615	4.0%	175.00	172.00	(3.00)
Region III - Atlanta	30,365,620	30,941,703	32,150,283	576,083	1.9%	1,208,581	3.9%	172.00	166.00	(6.00)
Region IV - Austin	31,249,269	32,071,828	33,304,689	822,559	2.6%	1,232,861	3.8%	176.60	168.20	(8.40)
Region V - Tempe	31,882,959	32,210,204	33,454,697	327,245	1.0%	1,244,493	3.9%	170.00	166.00	(4.00)
Asset Management & Assistance Center	6,413,306	6,921,507	7,218,333	508,201	7.9%	296,825	4.3%	38.00 (2)	38.00	-
<b>Total</b>	<b>\$ 279,477,779</b>	<b>\$ 290,915,928</b>	<b>\$ 302,961,338</b>	<b>\$ 11,438,149</b>	<b>4.1%</b>	<b>\$ 12,045,410</b>	<b>4.1%</b>	<b>1,268.7</b>	<b>1,247.0</b>	<b>(21.7)</b>

(1) E&I includes 7.5 FTE related to other NCUA funds; 3.5 FTE are paid for by the Central Liquidity Facility and 4.0 FTE are paid for by the Stabilization Fund.

(2) AMAC includes 1.0 FTE paid for by the Stabilization Fund.

## CAPITAL INVESTMENTS AND ACQUISITIONS

Description	2016 Budget	2017 Budget
<b>Information technology software development investments approved by Information Technology Policy Council</b>	<b>\$ 6,428,920</b>	<b>\$ 9,400,000</b>
<b>Automated Integrated Regulatory Examination System (AIRES)</b> redesign will improve the primary program exam tool used to manage credit union supervision and examination functions. This system is a critical and primary information source for documenting the industry health, safety and soundness.	<b>\$ 2,704,681</b>	<b>\$ 8,000,000</b>
<b>CU-online System</b> replacement system to modernized current legacy systems that support call report processing.	<b>\$ 494,239</b>	<b>\$ 1,400,000</b>
<b>Business Intelligence Tools and Data analytics</b> expansion to strengthen analysis and reporting and standarizing NCUA data.	<b>\$ 1,817,000</b>	
<b>GENESIS system and field of membership</b> replacement that support credit union chartering process. These are official systems of record to support chartering, tracking and reporting on credit unions.	<b>\$ 1,000,000</b>	
<b>Enterprise Records management Solution</b> to comply with National Archives Records Administration requirements for all Federal agencies to implement an electronic email management system by 2016.	<b>\$ 300,000</b>	
<b>Administrative Legacy Systems Replacement (SAP)</b> to complete final system transition to support human resource services for NCUA.	<b>\$ 113,000</b>	
<b>Other Information technology investments</b>	<b>\$ 2,550,000</b>	<b>\$ 2,525,000</b>
Network Storage Device - Upgrade Expansion		\$ 185,000
Network Storage Controller Refresh		\$ 150,000
Desk Phone (VoIP) Upgrade		\$ 350,000
Video Telecom Conferencing Gateway Refresh		\$ 175,000
NCUA Laptop lease ( year 2 of 3-year agreement)	<b>\$ 915,000</b>	<b>\$ 915,000</b>
Home Mortgage Disclosure Act system development cost sharing	<b>\$ 750,000</b>	<b>\$ 750,000</b>
<b>Implement FISMA - Required Two Factor Authentication</b> to improve system security and minimize cost and time for system access.	<b>\$ 500,000</b>	
Strengthen malware detection software	<b>\$ 250,000</b>	
Refresh End-of-life Hardware (production servers, router and profiler)	<b>\$ 135,000</b>	<b>\$ -</b>
<b>Capital building improvements and repairs</b>	<b>\$ 1,090,000</b>	<b>\$ 1,746,826</b>
Central office elevator replacement	<b>\$ 340,000</b>	<b>\$ 1,246,826</b>
Central office building renovations	<b>\$ 650,000</b>	<b>\$ 500,000</b>
Central office building improvements	<b>\$ 100,000</b>	
<b>TOTAL CAPITAL ACQUISITIONS</b>	<b>\$10,068,920</b>	<b>\$ 13,671,826</b>

**2016/2017 STABILIZATION FUND OVERSIGHT BUDGET**

By Cost Category	2015 Budget	2016 Budget	2017 Budget	Change 2015-16	%	Change 2016-17	%	Full-Time Equivalents		
								2015	2016/17	Change 2015 - 2016/17
Employee Pay and Benefits	\$ 1,038,079	\$ 1,134,352	\$ 1,207,922	\$ 96,273	9%	\$ 73,570	6%	5.00	5.00	0.0
Travel	73,440	66,025	65,000	(7,415)	-10%	\$ (1,025)	-2%			
Administrative (Training)	11,000	14,000	14,000	3,000	27%	\$ -	0%			
Contracted Services										
Consulting	992,000	795,491	795,491	(196,509)	-20%	\$ -	0%			
Valuation Services	1,250,000	1,250,000	1,250,000	-	0%	\$ -	0%			
Software/Data Subscriptions	757,000	763,000	763,000	6,000	1%	\$ -	0%			
<b>Total</b>	<b>\$ 4,121,519</b>	<b>\$ 4,022,868</b>	<b>\$ 4,095,413</b>	<b>\$(98,651)</b>	<b>-2.4%</b>	<b>\$ 72,545</b>	<b>1.8%</b>	<b>5.00</b>	<b>5.00</b>	<b>0.0</b>