UNITED STATES OF AMERICA NATIONAL CREDIT UNION ADMINISTRATION NATIONAL CREDIT UNION ADMINISTRATION BOARD

In the Matter of)	
Mary Carmen Hartley) Docket No. 11-0054-R	2
Former Employee and Institution-Affiliated Party of Mutual Diversified Employees Federal Credit Union Santa Ana, CA))))	

STIPULATION AND CONSENT TO ISSUANCE OF AN ORDER OF PROHIBITION

Mary Carmen Hartley ("Hartley"), former employee and institution-affiliated party of Mutual Diversified Employees Federal Credit Union, Santa Ana, CA, and the National Credit Union Administration Board ("Board"), acting by and through its Counsel, hereby make this Stipulation and Consent to Issuance of an Order of Prohibition ("Stipulation").

Hartley and the Board hereby stipulate and agree as follows:

1. Consideration. The Board is of the opinion that grounds exist to initiate an administrative prohibition action against Hartley pursuant to Section 206(g) of the Federal Credit Union Act ("FCUA"), 12 U.S.C. § 1786(g). Hartley, without admitting or denying that said grounds exist (except those set forth as to jurisdiction in paragraph 2), desires to avoid the time, cost and expense of administrative litigation. Accordingly, Hartley consents to the issuance by the Board of an Order of Prohibition ("Order") in consideration of the settlement, compromise and resolution of all potential

administrative claims and charges that have been or might be asserted by Board against Hartley arising out of her position with Mutual Diversified Employees Federal Credit Union.

- 2. <u>Jurisdiction</u>. Pursuant to its authority under Section 206 of the FCUA, 12 U.S.C. § 1786, the Board is the appropriate Federal agency to maintain an administrative action against an "institution-affiliated party." Hartley is an "institution-affiliated party" within the meaning of Section 206(r) of the FCUA, 12 U.S.C. § 1786(r). Accordingly, Hartley admits the jurisdiction of the Board over her and over the subject matter of this action.
- 3. <u>Finality</u>. Hartley consents to the issuance of the Order, and agrees to comply with all of its terms. The Order complies with all requirements of law, and is issued pursuant to Section 206 of the FCUA, 12 U.S.C. § 1786. Upon its issuance, the Order is final, effective and fully enforceable by the Board. The laws of the United States of America govern the construction and validity of this Stipulation and the Order, and the section and paragraph headings do not affect the interpretation of this Stipulation or the Order.
- 4. <u>Waivers</u>. Hartley waives her right to an administrative hearing provided by Section 206(g)(4) of the FCUA, 12 U.S.C. § 1786(g)(4). Hartley further waives her right to seek judicial review of the Order, or otherwise challenge the validity or legality of the Order.
- 5. Other Actions. Pursuant to this Stipulation, Hartley hereby agrees that the Order is solely for the purpose of settling and resolving the Board's claims against her, as provided by paragraph 1 of this Stipulation, and does not release, discharge,

compromise, settle, dismiss, resolve, or in any way affect any actions, claims, charges against, or liabilities that arise in connection with her former or current affiliations with Mutual Diversified Employees Federal Credit Union, or any affiliate thereof, and that may be or have been brought by any other Federal or state government agency or entity other than the Board.

WHEREFORE, in consideration of the foregoing, Hartley and the Board execute this Stipulation and Consent to Issuance of an Order of Prohibition.

ls/	12-7-2011	
MARY CARMEN HARTLEY	Date	
NATIONAL CREDIT UNION ADMINISTRATION		
/s/	12-16-2012	
DAVID W. FINK Office of General Counsel	Date	

UNITED STATES OF AMERICA NATIONAL CREDIT UNION ADMINISTRATION NATIONAL CREDIT UNION ADMINISTRATION BOARD

In the Matter of)
Mary Carmen Hartley) Docket No. 11-0054-R2
Former Employee and Institution-Affiliated Party of Mutual Diversified Employees Federal Credit Union Santa Ana, CA))))

ORDER OF PROHIBITION

WHEREAS, Mary Carmen Hartley ("Hartley") executed a Stipulation and Consent to Issuance of an Order of Prohibition ("Stipulation"), and agreed and consented to the issuance of this Order of Prohibition ("Order"), pursuant to Section 206(g) of the Federal Credit Union Act ("FCUA"), 12 U.S.C. § 1786(g), and Part 747 of the National Credit Union Administration Rules and Regulations ("Rules"), 12 C.F.R. § 747, et seq.; and

WHEREAS, pursuant to the FCUA and the Rules, the National Credit Union

Administration Board ("Board") has authority to issue a final Order where the

Respondent consents to the issuance of such an Order.

NOW THEREFORE, the Board issues this Order and prohibits Hartley from participating in any manner in the conduct of the affairs of any federally insured credit union, and from continuing or commencing to hold any office, or participate in any

manner in the conduct of the affairs, of any other institution or agency set forth in Section 206(g)(7) of the FCUA, 12 U.S.C. § 1786(g)(7).

FURTHERMORE, all technical words or terms used in this Order have meanings defined in the FCUA, the Rules, and Title 12 of the United States Code, and any such words or terms undefined in the foregoing have meanings that accord with the best customs and usage in the credit union industry.

FURTHERMORE, this Order against Hartley incorporates by reference the Stipulation she executed, and is effective upon its issuance.

NATIONAL CREDIT UNION ADMINISTRATION BOARD

By:	/s/ 		
Ву:	Jane A. Walters, Regional Director		
	1-11-2012		
Dated:			