

**UNITED STATES OF AMERICA
NATIONAL CREDIT UNION ADMINISTRATION
Alexandria, Virginia**

_____)
In the Matter of)

Michael Swanka)

) Docket No.: 13-0067-R1
)
)
)
_____)

NOTICE OF PROHIBITION

WHEREAS on or about April 4, 2013, Michael Swanka (“Swanka”) was convicted of 4th Degree Grand Larceny, N.Y. Pen. Law. § 155.30-1, in the Herkimer County Court in the State of New York, in connection with his employment at Remington Federal Credit Union;

WHEREAS a violation of N.Y. Pen. Law. § 155.30-1 is a criminal offense involving dishonesty and breach of trust;

NOW, THEREFORE, YOU ARE HEREBY NOTIFIED THAT:

1. Pursuant to 12 U.S. C. § 1785(d)(1)(A) and 12 U.S.C. § 1829(a)(1)(A), Swanka is prohibited from becoming an “institution affiliated party” of any insured depository institution, as defined in 12 U.S.C. § 1786(r); otherwise participating, directly or indirectly, in the conduct of the affairs of any insured depository institution; and owning or controlling, directly or indirectly, any insured depository institution;

2. Pursuant to 12 U.S. C. § 1785(d)(1)(B) and 12 U.S.C. § 1829(a)(1)(B), no insured depository institution may permit Swanka to engage in any conduct or continue in any relationship prohibited in paragraph 1 above;

3. Pursuant to 12 U.S.C. § 1785(d)(3) and 12 U.S.C. § 1829(b), whoever knowingly violates paragraph 1 or 2 above is subject to a fine of not more than \$1 million for each day such prohibition is violated, or imprisonment for not more than five (5) years, or both;

4. The "Record of Conviction" document, Case No. 13-042, is made a part hereof and incorporated herein by reference; and

5. This Notice of Prohibition shall be effective and enforceable on the date set forth below.

IT IS SO ORDERED this 7th day of OCTOBER, 2013.

NATIONAL CREDIT UNION ADMINISTRATION BOARD

By: _____


Lawrence Blankenberger
Regional Director
NCUA Region I

SUPREME COURT

STATE OF NEW YORK
COUNTY OF HERKIMER
MULTI-BENCH COURTS
HERKIMER COUNTY OFFICE AND COURT FACILITY
301 NORTH WASHINGTON STREET
HERKIMER, NEW YORK 13350

COUNTY AND
SURROGATE COURTS

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MARY T. GROGAN
CHIEF CLERK I

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RECORD OF CONVICTION
HERKIMER COUNTY COURT

STATE OF NEW YORK)
COUNTY OF HERKIMER)
VILLAGE OF HERKIMER)

SCI # 13-042

THE PEOPLE OF THE STATE OF NEW YORK

VS

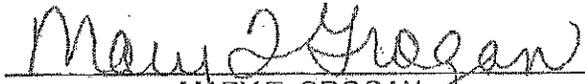
MICHAEL SWANKA

D.O.B: 06/03/1958

ON 04/04/2013, THE ABOVE STATED INDIVIDUAL PLEAD GUILTY TO THE REDUCED FIRST COUNT OF THE SUPERIOR COURT INFORMATION, GRAND LARCENY 4TH DEGREE, PL-155.30-01, IN FULL SATISFACTION OF THE SCI.

ON 04/04/2013, THE DEFENDANT WAS SENTENCED TO A THREE-YEAR CONDITIONAL DISCHARGE, RESTITUTION IN THE AMOUNT OF \$12,258.00 WAS PAID, THE \$50.00 DNA FEE WAS PAID AND A WAIVER OF APPEAL SIGNED.

I CERTIFY THAT THE ABOVE IS A TRUE EXTRACT FROM THE MINUTES OF SAID COURT, KEPT BY ME AS A CLERK THEREOF.


MARY T. GROGAN
CHIEF CLERK

WITNESS THE SEAL OF SAID COURT, AT THE VILLAGE OF HERKIMER, NEW YORK THIS 17TH DAY OF APRIL, 2013.