UNITED STATES OF AMERICA NATIONAL CREDIT UNION ADMINISTRATION Alexandria, Virginia

)	
In the Matter of)	
)	Docket No.: 19-0103-ER
Carolyn LaFreniere)	
)	

NOTICE OF PROHIBITION

WHEREAS on or about November 22, 2017, Carolyn LaFreniere ("LaFreniere") was convicted on the misdemeanor charge of Petit Larceny, PL 155.35, a charge to which she plead guilty, in the State of New York, Saratoga County, Milton Town Court, in connection with her employment at SIS Insurance, a wholly owned CUSO of Sunmark Federal Credit Union;

WHEREAS a violation of PL 155.35 is a criminal offense involving dishonesty and breach of trust;

NOW, THEREFORE, YOU ARE HEREBY NOTIFIED THAT:

- 1. Pursuant to 12 U.S.C. § 1785(d)(1)(A) and 12 U.S.C. § 1829(a)(1)(A), LaFreniere is prohibited from becoming an "institution affiliated party" of any insured depository institution, as defined in 12 U.S.C. § 1786(r); otherwise participating, directly or indirectly, in the conduct of the affairs of any insured depository institution; and owning or controlling, directly or indirectly, any insured depository institution;
- 2. Pursuant to 12 U.S.C. § 1785(d)(1)(B) and 12 U.S.C. § 1829(a)(1)(B), no insured depository institution may permit LaFreniere to engage in any conduct or continue in any relationship prohibited in paragraph 1 above;

- 3. Pursuant to 12 U.S.C. § 1785(d)(3) and 12 U.S.C. § 1829(b), whoever knowingly violates paragraph 1 or 2 above is subject to a fine of not more than \$1 million for each day such prohibition is violated, or imprisonment for not more than five (5) years, or both;
- 4. The "Certificate of Conviction" document, Case No. 17040066, is made a part hereof and is incorporated herein by reference; and

ISSUED this 19th day of February, 2019.

NATIONAL CREDIT UNION ADMINISTRATION

Rob F. Robine

Trial Attorney

NCUA Office of General Counsel

CERTIFICATE OF CONVICTION

SARATOG	f NEW YORK A COUNTY		et.		n Town C RIMINAL	
PEOPLE C	OF THE STA	TE OF NEW YORK				
	vs.					
CAROLYN	H. LAFREN	IERE; Defenda	nt			
CASE NO	: 17040066			•		
Date	of Birth		.70501	no: 6810	06471	
	of Arrest			no: 1386		
	tion Date		MIJID	110, 1300	91930	
	tion Date		8			
				20		
Charged Di	isposed Des	ket No & scription	Disposition	<u>Fíne</u>	Civil-Fee	Surcho
PL PI 155.35 15		TIT LARCENY	Fine/SC	100.00	0.00	0.00
I certif	fy that thurt was cheing in	e above named o arged as shown	ficial statement defendant having above. Each of 2018	the char	d before	

Copies: ___ Court, ___ Defendant, ___ Agency, ___ DA