

**UNITED STATES OF AMERICA
NATIONAL CREDIT UNION ADMINISTRATION
Alexandria, Virginia**

In the Matter of
LORI J. SMITH

)
)
) Docket No.: 11-0041-R2
)
)

NOTICE OF PROHIBITION

WHEREAS on or about January 11, 2011, Lori J. Smith ("Smith") pled guilty to Theft by Unlawful Taking, 18 U.S.C. § 3921-A in connection with her employment at MSA Employees Federal Credit Union, in Murrysville, PA;

WHEREAS a violation of 18 U.S.C. § 3921-A is a criminal offense involving dishonesty and breach of trust;

NOW, THEREFORE, YOU ARE HEREBY NOTIFIED THAT:

1. Pursuant to 12 U.S. C. § 1785(d)(1)(A) and 12 U.S.C. § 1829(a)(1)(A), Smith is prohibited from becoming an "institution affiliated party" of any insured depository institution, as defined in 12 U.S.C. § 1786(r); otherwise participating, directly or indirectly, in the conduct of the affairs of any insured depository institution; and owning or controlling, directly or indirectly, any insured depository institution;

2. Pursuant to 12 U.S. C. § 1785(d)(1)(B) and 12 U.S.C. § 1829(a)(1)(B), no insured depository institution may permit Smith to engage in any conduct or continue in any relationship prohibited in paragraph 1 above;

3. Pursuant to 12 U.S.C. § 1785(d)(3) and 12 U.S.C. § 1829(b), whoever knowingly violates paragraph 1 or 2 above is subject to a fine of not more than \$1 million for each day such prohibition is violated, or imprisonment for not more than five (5) years, or both;

4. The "Criminal Docket" filed 1/11/2011, CP-65-CR-0000603-2010, is made a part hereof and is incorporated herein by reference; and

5. This Notice of Prohibition shall be effective and enforceable on the date of set forth below.

IT IS SO ORDERED this 7th day of September, 2011.

NATIONAL CREDIT UNION ADMINISTRATION BOARD

By: Wendy A. Angus
Jane A. Walters
Regional Director
NCUA Region II

Jas

COURT OF COMMON PLEAS OF WESTMORELAND COUNTY

DOCKET

Docket Number: CP-65-CR-0000603-2010

CRIMINAL DOCKET

Court Case



Commonwealth of Pennsylvania

v.

Lori J. Smith

DISPOSITION SENTENCING/PENALTIES

Disposition

<u>Case Event</u>	<u>Disposition Date</u>	<u>Final Disposition</u>	
<u>Sequence/Description</u>	<u>Offense Disposition</u>	<u>Grade</u>	<u>Section</u>
<u>Sentencing Judge</u>	<u>Sentence Date</u>	<u>Credit For Time Served</u>	
<u>Sentence/Diversion Program Type</u>	<u>Incarceration/Diversionary Period</u>	<u>Start Date</u>	
<u>Sentence Conditions</u>	<u>Link Type</u>	<u>Linked Docket Number</u>	
Lower Court Proceeding (generic)			
Lower Court Disposition	02/16/2010	Not Final	
Proceed to Court (Arraignment Waived)			
Information Filed	04/08/2010	Not Final	
1 / Theft By Unlaw Taking-Movable Prop	Held for Court	F3	18§3921§§A
2 / Receiving Stolen Property	Held for Court	F3	18§3925§§A
3 / Misapply Entrusted/Govt/Fin Inst Prop	Held for Court	M2	18§4113§§A
Guilty Plea - Negotiated			
Plea Court	01/11/2011	Final Disposition	
1 / Theft By Unlaw Taking-Movable Prop	Guilty Plea - Negotiated	F3	18§3921§§A
Bell, Alfred B.	01/11/2011		
IPP	Max of 5.00 Years		
	Other		
Electronic Monitoring			
18§4113§§A - Probation	Consecutive From Seq. 3		CP-65-CR-0000603-2010
2 / Receiving Stolen Property	Guilty Plea - Negotiated	F3	18§3925§§A
Bell, Alfred B.	01/11/2011		
Merged			
3 / Misapply Entrusted/Govt/Fin Inst Prop	Guilty Plea - Negotiated	M2	18§4113§§A
Bell, Alfred B.	01/11/2011		
Probation	Max of 2.00 Years		
	Two Years		
18§3921§§A - IPP	Consecutive To Seq. 1		CP-65-CR-0000603-2010

Recent entries made in the court filing offices may not be immediately reflected on these docket sheets. Neither the courts of the Unified Judicial System of the Commonwealth of Pennsylvania nor the Administrative Office of Pennsylvania Courts assume any liability for inaccurate or delayed data, errors or omissions on these reports. Docket Sheet information should not be used in place of a criminal history background check which can only be provided by the Pennsylvania State Police. Moreover an employer who does not comply with the provisions of the Criminal History Record Information Act may be subject to civil liability as set forth in 18 Pa.C.S. Section 9183.

COURT OF COMMON PLEAS OF WESTMORELAND COUNTY

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ENTRIES

<u>Sequence Number</u>	<u>CP Filed Date</u>	<u>Document Date</u>	<u>Filed By</u>
1	01/11/2011		[REDACTED]
Guilty Plea - Negotiated			
2	01/11/2011		[REDACTED]
Order - Sentence/Penalty Imposed			
Count 1: 5 years Intermediate Punishment with 12 months Electronic Home Monitoring. Count 2: Merges with Count 1. Count 3: 2 years Probation, consecutive to Count 1. Defendant is to join and remain in Gamblers Anonymous. Defendant is to get a job within 30 days of this date (1/11/11). Defendant is to pay restitution documented within 7 years and 2 years for all other costs assessed.			
3	01/11/2011		Court of Common Pleas - Westmoreland County
Penalty Assessed			
1	01/18/2011		Court of Common Pleas - Westmoreland County
Guideline Sentence Form			
1	02/01/2011		
Entry of Civil Judgment			
1	03/03/2011		Commonwealth of Pennsylvania
Motion to Revoke Intermediate Punishment Program			
1	05/03/2011		[REDACTED]
Order - Sentence/Penalty Imposed			
Actor found in violation and parole is revoked; to be hooked up to HEML; Same sentence from previous order!; hook up fee waived.			