

**UNITED STATES OF AMERICA
NATIONAL CREDIT UNION ADMINISTRATION
Alexandria, Virginia**

_____)
In the Matter of)
)
Marquis D. James)
_____)

Docket No.: 17-0155-R2

NOTICE OF PROHIBITION

WHEREAS on or about October 14, 2016, Marquis D. James (“James”) was re-admitted into a pretrial intervention program with respect to the charge of Theft by Unlawful Taking, N.J.S.A. § 2C:20-3(a), in the Superior Court of New Jersey, in connection with his employment at Xcel Federal Credit Union;

WHEREAS a violation of N.J.S.A. § 2C:20-3(a) is a criminal offense involving dishonesty and breach of trust;

NOW, THEREFORE, YOU ARE HEREBY NOTIFIED THAT:

1. Pursuant to 12 U.S. C. § 1785(d)(1)(A) and 12 U.S.C. § 1829(a)(1)(A), James is prohibited from becoming an “institution affiliated party” of any insured depository institution, as defined in 12 U.S.C. § 1786(r); otherwise participating, directly or indirectly, in the conduct of the affairs of any insured depository institution; and owning or controlling, directly or indirectly, any insured depository institution;


2. Pursuant to 12 U.S. C. § 1785(d)(1)(B) and 12 U.S.C. § 1829(a)(1)(B), no insured depository institution may permit James to engage in any conduct or continue in any relationship prohibited in paragraph 1 above;

3. Pursuant to 12 U.S.C. § 1785(d)(3) and 12 U.S.C. § 1829(b), whoever knowingly violates paragraph 1 or 2 above is subject to a fine of not more than \$1 million for each day such prohibition is violated, or imprisonment for not more than five (5) years, or both;

4. The two documents from the Criminal Branch of the Superior Court of New Jersey (Hudson County), Accusation No. 22-01-15, are made a part hereof and is incorporated herein by reference; and

ISSUED this 28th day of September, 2017.

NATIONAL CREDIT UNION ADMINISTRATION BOARD

By: 
Rob F. Robine
Trial Attorney
NCUA Office of General Counsel

Superior Court of New Jersey
HUDSON COUNTY
LAW DIVISION - CRIMINAL BRANCH

THE STATE OF NEW JERSEY)
) ACCUSATION
)
) for
) Theft by Unlawful Taking (4^o)
)
) VS.
)
) Marquis D. James

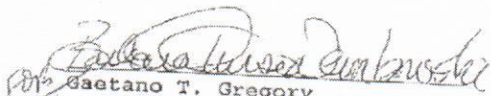
Accusation No. 22-15
Complaint No. S-2014-006881-0906
File No. 14-3287

THE STATE OF NEW JERSEY)
)) ss:
COUNTY OF HUDSON)

Marquis D. James, having been charged upon oath, before a Judge in the said County of Hudson, with Theft by Unlawful Taking (4^o) and having in writing, addressed to the County Prosecutor of said County, waived indictment and requested to be tried upon said charge, and request having been duly reported and granted:

The County Prosecutor of said County of Hudson alleges that the said Marquis D. James, in and around the 30th day of September, 2014, in the City of Jersey City, in the County aforesaid, and within the jurisdiction of this court, did unlawfully take or exercise unlawful control over the movable property of Xcel Federal Credit Union, that being money in excess of \$200, with the purpose to deprive the owner thereof, contrary to the provisions of N.J.S.A. 2C:20-3(a) and against the peace of this State, the Government and dignity of the same.

Dated: January 15, 2015


Gaetano T. Gregory
ACTING PROSECUTOR OF HUDSON COUNTY

Hon. Paul M. DePascale, J.S.C.
Superior Court of New Jersey
Administration Building
595 Newark Avenue
Jersey City, N.J. 07306

SUPERIOR COURT OF NEW JERSEY
LAW DIVISION - HUDSON COUNTY

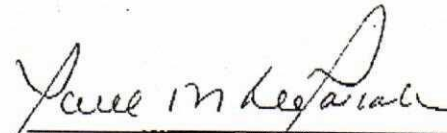
Acc No. 22-01-15 Ind. No.
Pros. File No.

STATE OF NEW JERSEY,)	CRIMINAL ACTION
)	
Plaintiff,)	ORDER RE-ADMITTING THE DEFENDANT INTO
)	THE PRE-TRIAL INTERVENTION PROGRAM
vs.)	
MARQUIS DAVON JAMES)	
)	
Defendant)	
)	

This matter having been presented to the Court by _____, Esq.
attorney for the Defendant, regarding this defendant's PTI termination on
and the Court having reviewed the arguments submitted and for good
cause shown;

IT IS ORDERED on this 14 day of Oct, 2016, as follows:

The Defendant, _____, is hereby Re-admitted into the Pre-Trial
Intervention Program for a period of 12 months and is to comply with
conditions of that program.



Hon. Paul M. DePascale, J.S.C.

I hereby Consent to the Form
And Entry of the above Order

Asst. Hudson County Prosecutor